

Circular No.: NSDL/POLICY/2021/0029

March 31, 2021

Subject: SEBI circular on Prior Approval for Change in control: Transfer of shareholdings among immediate relatives and transmission of shareholdings and their effect on change in control

Attention of Participants is invited to SEBI Circular No. CIR/MIRSD/14/2011 dated August 02, 2011 addressed to stock exchanges/depositories and intermediaries prescribing the procedure for seeking prior approval for change in control from SEBI. In this context, SEBI has issued certain clarifications vide Circular No. SEBI/HO/MIRSD/DOR/CIR/P/2021/42 dated March 25, 2021 (copy enclosed) regarding Prior Approval for Change in control: Transfer of shareholdings among immediate relatives and transmission of shareholdings and their effect on change in control.

Participants are requested to take note of the above and ensure compliance.

For and on behalf of

National Securities Depository Limited**Chirag Shah****Senior Manager**

Enclosed: One

FORTHCOMING COMPLIANCE

Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through e-PASS	Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015
Charge Structure	April 30th every year.	By email at dpfees@nsdl.co.in	1. Circular No. NSDL/POLICY/2006/0064 dated December 26, 2006. 2. Circular No. NSDL/POLICY/2007/0003 dated January 8, 2007.

**National Securities Depository Limited**

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